

B. Roker - Exempt Not-Held NMS stocks

Generated on Tue Nov 05 2019 11:58:06 GMT-0500 (Eastern Standard Time)

For C. Schtumer

Reporting Period 2019-12-01 to 2020-02-29

Orders - Exempt Not-Held NMS stocks

Order ID	Type	Venue	Time of Transaction (UTC)
27710	Directed	Venue One	2019-12-01 15:05:15
			2019-12-02 09:26:51
			2019-12-03 15:19:14
			2019-12-04 12:13:20
			2019-12-05 13:16:19
		Venue Two	2019-12-01 11:04:03
			2019-12-02 13:11:42
			2019-12-03 10:22:20
			2019-12-04 10:18:17
			2019-12-05 10:21:52
		Venue Three	2019-12-01 11:02:02
			2019-12-02 09:03:35
			2019-12-03 11:11:12
			2019-12-04 15:30:51
		Venue Four	2019-12-01 11:16:00
			2019-12-02 15:30:39
			2019-12-03 16:03:06
			2019-12-04 12:29:07
			2019-12-05 11:12:05
		00545	Directed
2019-12-02 13:12:15			
2019-12-03 14:09:02			
2019-12-04 16:22:54			
2019-12-05 12:03:31			
Venue Two	2019-12-01 14:06:21		
	2019-12-02 12:11:08		
	2019-12-03 16:27:49		
	2019-12-04 11:09:42		
	2019-12-05 15:15:23		
Venue Three	2019-12-01 10:26:14		
	2019-12-02 16:19:03		
	2019-12-03 13:15:21		
	2019-12-04 12:30:17		
	2019-12-05 13:08:12		
Venue Four	2019-12-01 16:05:16		
	2019-12-02 16:14:54		
	2019-12-03 11:24:55		
	2019-12-04 15:06:47		
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04661	Directed	Venue One	2019-12-01 16:15:25
			2019-12-02 14:01:08
			2019-12-03 16:14:32
			2019-12-04 13:28:12
			2019-12-05 12:21:32

Order ID	Type	Venue	Time of Transaction (UTC)
		Venue Two	2019-12-01 14:27:54
			2019-12-02 10:25:15
			2019-12-03 11:13:55
			2019-12-04 13:26:02
			2019-12-05 11:17:15
		Venue Three	2019-12-01 13:24:51
			2019-12-02 11:08:54
			2019-12-03 16:23:06
			2019-12-04 09:28:05
			2019-12-05 10:09:17
		Venue Four	2019-12-01 14:19:50
			2019-12-02 13:13:02
			2019-12-03 09:06:05
			2019-12-04 10:16:54
			2019-12-05 12:13:02
23942	Non-Directed	Venue One	2019-12-01 16:08:05
			2019-12-02 11:01:07
			2019-12-03 13:14:30
			2019-12-04 09:19:51
			2019-12-05 15:16:25
		Venue Two	2019-12-01 16:29:02
			2019-12-02 16:06:04
			2019-12-03 10:08:54
			2019-12-04 13:02:24
			2019-12-05 16:21:58
		Venue Three	2019-12-01 11:20:00
			2019-12-02 11:13:09
			2019-12-03 11:11:44
			2019-12-04 13:06:51
			2019-12-05 10:27:20
		Venue Four	2019-12-01 12:25:24
			2019-12-02 10:26:00
			2019-12-03 11:22:41
			2019-12-04 10:19:26
			2019-12-05 09:04:52
19659	Directed	Venue One	2019-12-01 10:01:23
			2019-12-02 13:15:39
			2019-12-03 11:05:57
			2019-12-04 14:29:40
			2019-12-05 16:04:51
		Venue Two	2019-12-01 15:22:23
			2019-12-02 10:12:39
			2019-12-03 11:16:26
			2019-12-04 13:21:26
			2019-12-05 10:24:24
		Venue Three	2019-12-01 16:09:55
			2019-12-02 09:12:02
			2019-12-03 16:15:17
			2019-12-04 14:02:57
			2019-12-05 09:01:56
		Venue Four	2019-12-01 09:22:25

Order ID	Type	Venue	Time of Transaction (UTC)
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			2019-12-03 15:21:09
			2019-12-04 16:13:56
			2019-12-05 15:17:34
17131	Non-Directed	Venue One	2019-12-01 11:30:46
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			2019-12-03 11:17:50
			2019-12-04 13:21:30
			2019-12-05 13:08:05
		Venue Two	2019-12-01 09:23:26
			2019-12-02 15:18:49
			2019-12-03 11:26:02
			2019-12-04 11:04:25
			2019-12-05 12:20:46
		Venue Three	2019-12-01 09:29:48
			2019-12-02 14:15:26
			2019-12-03 11:14:20
			2019-12-04 15:18:02
			2019-12-05 16:02:28
		Venue Four	2019-12-01 14:24:23
			2019-12-02 12:13:24
			2019-12-03 10:30:54
			2019-12-04 11:12:11
			2019-12-05 09:27:58
31316	Non-Directed	Venue One	2019-12-01 16:26:22
			2019-12-02 14:22:26
			2019-12-03 11:17:34
			2019-12-04 14:26:47
			2019-12-05 15:15:24
		Venue Two	2019-12-01 11:04:09
			2019-12-02 14:21:33
			2019-12-03 09:04:57
			2019-12-04 16:09:43
			2019-12-05 15:07:12
		Venue Three	2019-12-01 10:00:57
			2019-12-02 14:03:57
			2019-12-03 10:21:24
			2019-12-04 15:23:16
			2019-12-05 16:30:17
		Venue Four	2019-12-01 10:12:35
			2019-12-02 16:05:10
			2019-12-03 10:16:53
			2019-12-04 13:16:56
			2019-12-05 15:15:29
06031	Non-Directed	Venue One	2019-12-01 09:29:44
			2019-12-02 13:18:30
			2019-12-03 10:15:56
			2019-12-04 15:28:41
			2019-12-05 14:02:43
		Venue Two	2019-12-01 09:12:15
			2019-12-02 09:26:09

Order ID	Type	Venue	Time of Transaction (UTC)		
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			2019-12-05 15:23:02		
		Venue Three	2019-12-01 14:00:53		
			2019-12-02 12:19:06		
			2019-12-03 11:07:09		
			2019-12-04 12:02:19		
			2019-12-05 14:10:27		
		Venue Four	2019-12-01 15:14:35		
			2019-12-02 15:25:05		
			2019-12-03 12:25:30		
			2019-12-04 09:07:51		
			2019-12-05 15:10:31		
		23637	Directed	Venue One	2019-12-01 11:19:06
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2019-12-03 13:19:20					
2019-12-04 14:23:28					
2019-12-05 11:24:51					
Venue Two	2019-12-01 12:04:29				
	2019-12-02 13:28:19				
	2019-12-03 14:13:40				
	2019-12-04 14:08:01				
	2019-12-05 14:03:30				
Venue Three	2019-12-01 15:04:30				
	2019-12-02 10:30:17				
	2019-12-03 16:18:19				
	2019-12-04 10:07:48				
	2019-12-05 16:08:39				
Venue Four	2019-12-01 13:24:59				
	2019-12-02 10:20:49				
	2019-12-03 11:27:32				
	2019-12-04 09:15:54				
	2019-12-05 13:03:00				
09689	Non-Directed	Venue One	2019-12-01 13:02:11		
			2019-12-02 14:03:45		
			2019-12-03 12:02:59		
			2019-12-04 10:03:36		
			2019-12-05 14:20:28		
		Venue Two	2019-12-01 12:25:42		
			2019-12-02 10:28:36		
			2019-12-03 15:22:39		
			2019-12-04 13:04:12		
			2019-12-05 14:21:03		
		Venue Three	2019-12-01 13:11:55		
			2019-12-02 11:05:52		
			2019-12-03 12:04:17		
			2019-12-04 13:21:00		
			2019-12-05 14:18:42		
		Venue Four	2019-12-01 14:25:09		
			2019-12-02 16:03:20		
			2019-12-03 15:18:46		

Order ID	Type	Venue	Time of Transaction (UTC)
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			2019-12-05 12:16:04
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			2019-12-02 10:08:11
			2019-12-03 09:26:10
			2019-12-04 16:12:43
			2019-12-05 10:04:44
		Venue Two	2019-12-01 11:02:30
			2019-12-02 15:10:26
			2019-12-03 09:25:07
			2019-12-04 13:27:42
			2019-12-05 09:06:19
		Venue Three	2019-12-01 11:21:45
			2019-12-02 16:25:00
			2019-12-03 13:10:05
			2019-12-04 13:29:24
			2019-12-05 10:27:21
		Venue Four	2019-12-01 12:26:12
			2019-12-02 10:15:15
			2019-12-03 13:19:58
			2019-12-04 10:16:37
			2019-12-05 09:22:28
29319	Directed	Venue One	2019-12-01 16:25:44
			2019-12-02 13:20:19
			2019-12-03 15:18:07
			2019-12-04 15:17:44
			2019-12-05 09:19:41
		Venue Two	2019-12-01 14:24:34
			2019-12-02 11:15:45
			2019-12-03 11:17:34
			2019-12-04 14:05:30
			2019-12-05 13:18:46
		Venue Three	2019-12-01 14:08:52
			2019-12-02 09:02:02
			2019-12-03 09:19:43
			2019-12-04 11:04:13
			2019-12-05 15:10:28
		Venue Four	2019-12-01 10:01:06
			2019-12-02 09:07:09
			2019-12-03 09:22:27
			2019-12-04 12:18:02
			2019-12-05 14:30:59
05120	Directed	Venue One	2019-12-01 11:28:33
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			2019-12-03 16:22:32
			2019-12-04 12:24:08
			2019-12-05 12:00:15
		Venue Two	2019-12-01 16:29:18
			2019-12-02 15:23:23
			2019-12-03 11:03:04
			2019-12-04 13:03:36

Order ID	Type	Venue	Time of Transaction (UTC)
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			2019-12-02 15:17:47
			2019-12-03 16:02:44
			2019-12-04 16:30:22
			2019-12-05 11:30:06
		Venue Four	2019-12-01 16:29:54
			2019-12-02 10:30:45
			2019-12-03 12:30:27
			2019-12-04 15:27:25
			2019-12-05 12:15:25
22012	Non-Directed	Venue One	2019-12-01 13:03:24
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			2019-12-04 15:09:42
			2019-12-05 15:22:03
		Venue Two	2019-12-01 09:21:07
			2019-12-02 16:21:35
			2019-12-03 11:07:54
			2019-12-04 11:24:37
			2019-12-05 12:22:55
		Venue Three	2019-12-01 13:05:25
			2019-12-02 13:06:18
			2019-12-03 14:20:48
			2019-12-04 09:10:05
			2019-12-05 15:07:45
		Venue Four	2019-12-01 09:04:08
			2019-12-02 16:00:26
			2019-12-03 15:13:34
			2019-12-04 13:24:49
			2019-12-05 15:00:01
06451	Non-Directed	Venue One	2019-12-01 14:07:25
			2019-12-02 09:01:20
			2019-12-03 14:24:20
			2019-12-04 11:27:50
			2019-12-05 16:24:26
		Venue Two	2019-12-01 09:27:23
			2019-12-02 10:13:06
			2019-12-03 16:30:55
			2019-12-04 12:06:29
			2019-12-05 15:10:46
		Venue Three	2019-12-01 09:27:13
			2019-12-02 11:14:08
			2019-12-03 16:00:04
			2019-12-04 10:04:32
			2019-12-05 15:16:40
		Venue Four	2019-12-01 11:01:27
			2019-12-02 10:24:10
			2019-12-03 13:26:45
			2019-12-04 11:14:09
			2019-12-05 09:08:51

Order ID	Type	Venue	Time of Transaction (UTC)
10474	Directed	Venue One	2019-12-01 16:15:03
			2019-12-02 09:11:07
			2019-12-03 11:06:54
			2019-12-04 13:06:00
			2019-12-05 10:10:41
		Venue Two	2019-12-01 16:15:35
			2019-12-02 16:26:59
			2019-12-03 09:19:57
			2019-12-04 11:22:51
			2019-12-05 11:23:43
		Venue Three	2019-12-01 14:21:23
			2019-12-02 11:04:43
			2019-12-03 11:28:18
			2019-12-04 11:28:21
			2019-12-05 11:08:21
		Venue Four	2019-12-01 14:17:06
			2019-12-02 11:30:54
			2019-12-03 13:01:16
			2019-12-04 12:17:23
			2019-12-05 16:06:22
30772	Directed	Venue One	2019-12-01 15:11:37
			2019-12-02 13:07:00
			2019-12-03 16:00:03
			2019-12-04 13:13:16
			2019-12-05 14:02:54
		Venue Two	2019-12-01 16:28:15
			2019-12-02 09:01:11
			2019-12-03 11:22:48
			2019-12-04 15:14:03
			2019-12-05 15:11:54
		Venue Three	2019-12-01 16:29:09
			2019-12-02 16:10:08
			2019-12-03 12:30:43
			2019-12-04 16:25:39
			2019-12-05 13:20:09
		Venue Four	2019-12-01 09:28:24
			2019-12-02 12:04:10
			2019-12-03 09:01:17
			2019-12-04 11:22:28
			2019-12-05 14:18:08
21415	Directed	Venue One	2019-12-01 16:28:04
			2019-12-02 14:25:08
			2019-12-03 11:16:00
			2019-12-04 12:08:44
			2019-12-05 15:30:45
		Venue Two	2019-12-01 16:13:02
			2019-12-02 10:00:00
			2019-12-03 11:22:19
			2019-12-04 12:17:16
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		Venue Three	2019-12-01 13:01:17

Order ID	Type	Venue	Time of Transaction (UTC)
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			2019-12-05 13:27:17
		Venue Four	2019-12-01 10:27:51
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			2019-12-04 12:05:27
03677	Directed	Venue One	2019-12-01 16:05:52
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			2019-12-05 12:01:01
		Venue Two	2019-12-01 15:16:16
			2019-12-02 12:24:50
			2019-12-03 15:30:22
			2019-12-04 15:05:14
			2019-12-05 12:20:42
		Venue Three	2019-12-01 13:01:24
			2019-12-02 15:02:16
			2019-12-03 16:29:13
			2019-12-04 11:06:00
			2019-12-05 13:18:19
		Venue Four	2019-12-01 10:17:45
			2019-12-02 09:05:19
			2019-12-03 10:21:41
			2019-12-04 09:26:05
			2019-12-05 15:18:38
11743	Directed	Venue One	2019-12-01 14:06:43
			2019-12-02 16:12:52
			2019-12-03 16:27:29
			2019-12-04 13:02:00
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		Venue Two	2019-12-01 15:13:15
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			2019-12-03 11:26:25
			2019-12-04 11:04:52
			2019-12-05 16:00:29
		Venue Three	2019-12-01 13:18:05
			2019-12-02 11:13:23
			2019-12-03 14:17:52
			2019-12-04 12:04:40
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		Venue Four	2019-12-01 13:02:44
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07327	Directed	Venue One	2019-12-01 15:16:51
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Order ID	Type	Venue	Time of Transaction (UTC)		
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			2019-12-05 12:06:44		
		Venue Two	2019-12-01 14:10:11		
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			2019-12-03 11:07:47		
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			2019-12-05 16:18:10		
		Venue Three	2019-12-01 15:15:11		
			2019-12-02 11:15:04		
			2019-12-03 15:05:34		
			2019-12-04 15:25:41		
			2019-12-05 10:20:31		
		Venue Four	2019-12-01 13:12:17		
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2019-12-03 09:16:40					
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Venue Two	2019-12-01 14:27:59				
	2019-12-02 14:30:51				
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	2019-12-05 10:15:50				
Venue Three	2019-12-01 14:16:59				
	2019-12-02 15:26:13				
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Venue Four	2019-12-01 13:23:38				
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26879	Non-Directed	Venue One	2019-12-01 12:08:36		
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			2019-12-04 15:23:40		
			2019-12-05 11:20:04		
		Venue Two	2019-12-01 09:13:47		
			2019-12-02 16:25:11		
			2019-12-03 15:22:50		
			2019-12-04 09:14:01		
			2019-12-05 16:23:39		
		Venue Three	2019-12-01 16:12:39		
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			2019-12-03 10:26:29		

Order ID	Type	Venue	Time of Transaction (UTC)		
			2019-12-04 13:28:21		
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		Venue Four	2019-12-01 12:11:41		
			2019-12-02 12:21:14		
			2019-12-03 09:11:05		
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			2019-12-05 15:24:54		
02463	Non-Directed	Venue One	2019-12-01 14:01:31		
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			2019-12-04 14:18:45		
			2019-12-05 12:19:28		
		Venue Two	2019-12-01 12:09:20		
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			2019-12-03 13:04:23		
			2019-12-04 13:28:40		
			2019-12-05 10:29:56		
		Venue Three	2019-12-01 12:27:03		
			2019-12-02 12:01:48		
			2019-12-03 11:07:32		
			2019-12-04 15:25:32		
			2019-12-05 14:14:12		
		Venue Four	2019-12-01 16:12:08		
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					2019-12-05 13:01:50
Venue Two	2019-12-01 11:17:24				
	2019-12-02 15:29:37				
	2019-12-03 12:12:49				
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	2019-12-05 14:19:31				
Venue Three	2019-12-01 10:16:14				
	2019-12-02 09:17:11				
	2019-12-03 09:04:01				
	2019-12-04 10:04:03				
	2019-12-05 09:02:56				
Venue Four	2019-12-01 16:12:04				
	2019-12-02 16:04:10				
	2019-12-03 14:05:14				
	2019-12-04 11:09:42				
	2019-12-05 14:14:32				

Unable to render more than 500 Exempt Not-Held NMS stocks transactions.
Open larger 606(b)(1) XML files in a spreadsheet or other application.